

LIST OF DOCUMENTS FOR OPENING A CURRENT ACCOUNT FOR A RESIDENT ENTITY

1. **Application for opening of a current account**, signed by the Head of the legal entity or other person authorized for this (a template of the document is provided by the Bank).
2. **Questionnaire** of a legal entity (a template of the document is provided by the Bank).
3. **Ownership structure**, the documented system of relations that allows to find out all the existing ultimate beneficiary owners, including their control relations, or the absence of the ultimate beneficiary owners. Supporting documentation (affidavit statements, trust declarations/deeds, ownership certificates etc.), if available.
4. **List of persons entitled to dispose of the account**, notarized or certified by a signature of the Head of the legal entity, which the separate unit belongs to. The list shall include the signature samples of the authorized persons of the unit who, according to the law of Ukraine and the relevant documents of the legal entity, are granted the right to dispose of the account and to sign the settlement documents, and the seal sample (if available).
5. **Data from the Unified State Registry of Legal Entities and Private Entrepreneurs**, formed via the official web-site of the Ministry of Justice of Ukraine (printed out by the employee in charge of identification at the moment of identification; the Bank received the constituent documents of the legal entity by means of their search by the access code, provided/entered by a representative of the legal entity).¹
6. **The persons who have the right of the first and the second signatures, shall personally submit the following:**
 - 6.1. Passport of the citizen of Ukraine (or other document which certifies the identity and, according to the law of Ukraine, can be used on the territory of Ukraine for conclusion of legal deals) (copies of relevant pages of the passport shall be certified by signatures of the authorized employee of the Bank and the individual – owner of the document).
 - 6.2. Document, issued by the relevant control authority, which certifies the registration of the individual in the State Individual Taxpayers' Register (copies of the document shall be certified by signatures of the authorized employee of the Bank and the individual – owner of the document). The document shall be submitted by the resident individuals (for a non-resident – if available). Individuals whose passport contains an inscription made by the relevant control authority on the right to carry out any payment with use of series and number of the passport, or whose passport contains data on registration number of taxpayer's identification card, or data on the registration number of taxpayer's identification card, entered by territorial structural units of the State Migration Service of Ukraine / an inscription on refusal to receive a taxpayer's identification card number on an electronic contactless media, or in whose passport the inscription "refusal" was made, shall not submit this document.
 - 6.3. Unique record number in the Unified State Demographic Register (if available).
 - 6.4. Information on the place of residence or stay (for residents) / place of residence or place of temporary stay in Ukraine (for non-residents).
7. **Copies of documents which confirm the power of persons who have the right of the first and second signatures**, certified by signature of the Head of the separate unit and by a seal (if available) (order / power of attorney / protocol, etc.).

¹ In case of absence of data in the Extract on Unified State Register of Legal Entities and Individual Entrepreneurs about the registration in the State Fiscal Service of Ukraine, it is necessary to submit additionally a copy of document confirming registration of the legal entity by an authority of the State Fiscal Service (Form No.4-ОПП), certified by the authority that issued the document, notarized or certified by a signature of an authorized employee of the Bank – if the State registration (last registration action) was taken before 17.12.2012.

8. Constituting and registration documents of the legal entity:

8.1. A copy of a properly registered constituting document (Articles of Association / Constituting Agreement / Constituting Act / Regulation) (legal entities of public law acting on the basis of laws, shall not submit the constituting document). Legal persons, whose constituent documents are published on the electronic services portal, do not provide the constituent document in paper form. Legal persons of public law acting on the basis of laws do not submit a constituent document.

8.2. If the legal entity is created and/or acts on the basis of a standard Articles of Association approved by the Cabinet of Ministers of Ukraine, instead of a copy of the document indicated in item 8.1, it shall submit a copy of the decision on its establishment or on carrying out of activity on the basis of a standard Articles of Association, signed by all founders and notarized or certified by an authorized employee of the Bank, provided that the original is available. The Decision should contain data on its title, location, character and objectives of activity, composition of founders and participants, the amount of authorized capital, the amount of shares of each of the members, the procedure of making by them of contributions, as well as information on activity on the basis of the standard Articles of Association.

8.3. If the legal entity is a financial institution, a copy of the certificate on registration of the financial institution, issued by the State Commission for Financial Services Markets Regulation of Ukraine, certified by a signature of the head of the legal entity and by a seal of the legal entity (if available) or by an authorized employee of the Bank shall be submitted, provided that the original is available.

8.4. If a Client is the VAT payer, a Copy of an Extract from the VAT-Payers' Registry, printed out from the source of the Fiscal Service, certified by a signature of the head of the legal entity and by the seal of the legal entity (if available) or certified by the authorized employee of the Bank is submitted, provided that the original is available.

8.5. If a Client is related to a politically exposed person (PEP), the documents, which confirm the sources of a Client's funds, assets and the rights to such assets (sources of incomes), shall be provided additionally. If the final beneficiary owner (controller) of the Client is a public figure, person, close or related to a public figure, it is necessary to provide documents (information), confirming sources of assets, rights to such assets (sources of incomes) of the individual – final beneficiary owner (controller).

8.6. If the legal entity is an assets management company that opens a current account for accounting funds of a joint investment fund, the following documents shall be provided additionally:

- A copy of the license, issued by the National Securities and Stock Markets Commission for management of assets of a joint investment fund, notarized or certified by the asset management company, or certified by an authorized employee of the Bank shall be submitted, provided that the original is available;
- A copy of the Regulation of a joint investment fund with an inscription (stamp) of the National Securities and Stock Markets Commission on its registration with indication of the registration date, that is certified by a seal of the National Securities and Stock Markets Commission and by a signature of the authorized person of the National Securities and Stock Markets Commission, notarized or certified by the assets management company, or by an authorized employee of the Bank shall be submitted, provided the original is available;
- A copy of the Certificate on registration of the joint investment fund in the Single State Joint Investment Funds Registry, issued by the National Securities and Stock Markets Commission, notarized or certified by the assets management company, or certified by an authorized employee of the Bank is submitted, provided the original is available;
- A copy of an agreement with the custodian on servicing of the joint investment fund, notarized or certified by the assets management company, or certified by an authorized employee of the Bank shall be submitted, provided the original is available.

9. Information on the fact that the legal entity does not use hired labor and is not the payer of the single social tax shall be obligatory mentioned by a Client in the application on opening of a current account in the "Additional information" line.

The above list of documents is not exhaustive and, if necessary, the Bank shall have the right to request from the Client to provide documents and/or data necessary for fulfillment of identification procedure, according to the requirements of Article 11 of the Law of Ukraine “On Prevention and Combating the Money-Laundering, Terrorism Financing and Weapons of Mass Destruction Proliferation Financing” No. 361-IX dated January 06, 2019, of the Resolution of the Board of the National Bank of Ukraine “On Approval of Regulation on Carrying Out the Financial Monitoring by Banks ” No. 65 dated May 19, 2020.

The Bank has the right to deny the Client in servicing the account in the cases stipulated by the law of Ukraine and the agreement.