

**LIST OF DOCUMENTS FOR OPENING AN INVESTMENT ACCOUNT (IN THE NATIONAL OR FOREIGN CURRENCY) FOR A NON-RESIDENT ENTITY**

1. **Application for opening of a current account**, signed by an authorized person (a template of the document is provided by the Bank). In the application, in the “Additional Information” line, it is obligatory to specify that the account is opened with the purpose of investments in Ukraine.
2. **Questionnaire** of a legal entity (a template of the document is provided by the Bank).
3. **Ownership structure**, the documented system of relations that allows to find out all the existing ultimate beneficiary owners, including their control relations, or the absence of the ultimate beneficiary owners. Supporting documentation (affidavit statements, trust declarations/deeds, ownership certificates etc.), if available.
4. A notarized **List of persons entitled to dispose of the account**, of a non-resident investor.
5. **Person (persons) who opens (open) the account on behalf of the non-resident investor and/or has/have the right to dispose of property** (listed in the List of persons entitled to dispose of the account) / head of the non-resident investor shall provide:
  - 5.1. **Passport of the citizen of Ukraine / national passport of a citizen of a foreign State – for a non-resident** (or other document which certifies identity and, according to the law of Ukraine, can be used on the territory of Ukraine for conclusion of legal deals);
  - 5.2. **Document, containing the Ukrainian taxpayer’s card registration number** (for a non-resident – if available). Individuals, in whose passport there is an inscription made by control authorities on the right to carry out any payments by the series and number of the passport or whose passport contains data on the taxpayer’s card registration number, or data on taxpayer’s card registration number entered by territorial structural units of the State Migration Service of Ukraine / inscription on refusal to receive a taxpayer’s card registration number on an electronic contactless media, or whose passport contains the inscription “refusal”, shall not submit this document;
  - 5.3. **Unique record number in the Unified State Demographic Register** (if available).
  - 5.4. **Information on the place of residence or stay** (for residents) / place of residence or place of temporary stay in Ukraine (for non-residents).
  - 5.5. **Documents confirming their powers**: a copy of legalized or certified by means of affixing an apostille and notarized Power of Attorney, issued in the name of the person, who has the right to open and to dispose of the account, notarized / a document confirming the power of the person who has the right to open an account without a Power of Attorney certified in the manner stipulated by the law of Ukraine. In case of issuance of such a Power of Attorney by a non-resident entity on the territory of Ukraine, a copy of this Power of Attorney, certified in accordance with the procedure established by the law of Ukraine, shall be submitted.
6. Copies of the legalized and certified by means of affixing an apostille Extract form trade, banking or court registry / a notarized registration certificate of a local authority of a foreign State on registration of a non-resident entity / a document certifying the registration of a non-resident entity in accordance with the law of the country of its location, certified in the manner stipulated by the law of Ukraine.. The date of issuing of the mentioned extract or registration certificate shall be not later than the current year. If the document was issued the previous year, it is necessary to submit a Certificate in an arbitrary form on absence of changes in registration of the non-resident entity.
7. If a Client is related to a politically exposed person (PEP), the documents which confirm the origin of funds of the client, assets and rights to such assets (source of capital), shall be submitted additionally. If the final

beneficiary owner (controller) of a Client is a politically exposed person, a person, close or associated to a PEP, it is necessary to submit documents (information) which confirm the origin of assets, rights to such assets (source of capital) of the individual – ultimate beneficiary owner (controller).

The above list of documents is not exhaustive and, if necessary, the Bank shall have the right to request from the Client to provide documents and/or data necessary for fulfillment of identification procedure, according to the requirements of Article 11 of the Law of Ukraine “On Prevention and Combating the Money-Laundering, Terrorism Financing and Weapons of Mass Destruction Proliferation Financing” No. 361-IX dated January 06, 2019, of the Resolution of the Board of the National Bank of Ukraine “On Approval of Regulation on Carrying Out the Financial Monitoring by Banks ” No. 65 dated May 19, 2020.

To open an account, a Client must submit to the Bank the documents that must be valid at the time of their submission and their execution must meet the requirements of the law of Ukraine.

The Bank has the right to deny the Client in servicing the account in the cases stipulated by the law of Ukraine and the agreement.