

LIST OF DOCUMENTS FOR OPENING A CURRENT ACCOUNT FOR A SEPARATE UNIT¹ OF A LEGAL ENTITY

1. **Application for opening of a current account**, signed by the head of a separate unit or other authorized person (the template of the document is provided by the Bank).

2. **Request of a resident entity** or relevant privatization authority (regarding the structural units separated in the process of privatization) to the Bank on opening of the account, indicating the number of the current account of the legal entity and the name of the bank, in which it is open, as well as the information whether the separate unit is a payer of the unified social tax. In case of simultaneous opening of current accounts in the Bank through several separate units of an legal entity, one request of the legal entity with the above information and the list of separate units, through which accounts will be opened, and copies of this request in the number necessary for creation of files for legal arrangement of accounts for each separate unit, shall be submitted.

3. **Questionnaire** (the template of the document is provided by the Bank).

4. **Ownership structure**, the documented system of relations that allows to find out all the existing ultimate beneficiary owners, including their control relations, or the absence of the ultimate beneficiary owners. Supporting documentation (affidavit statements, trust declarations/deeds, ownership certificates etc.), if available.

5. **List of persons entitled to dispose of the account**, notarized or certified by a signature of the Head of the legal entity, which the separate unit belongs to. The list shall include the signature samples of the authorized persons of the unit who, according to the law of Ukraine and the relevant documents of the legal entity, are granted the right to dispose of the account and to sign the settlement documents, and the seal sample (if available).

6. Constituent and registration documents of the separate units of the legal entity:

6.1. Copy of the duly executed regulation on the separate unit, notarized or certified by the legal entity, which established the separate unit, or by the authorized employee of the Bank, if the original is available.

6.2. If the separate unit is a unit of a financial institution, a copy of the certificate of registration of the financial institution issued by the State Commission for Regulation of Financial Services Markets of Ukraine, certified by signature of the Head of the legal entity and a seal of the legal entity or the separate unit (if available), or by the authorized employee of the Bank, shall be submitted additionally, provided that the original is available.

6.3. If the legal entity that established the separate unit is a VAT payer, a copy of the Extract from the Registry of Value Added Tax Payers, certified by signature of the Head of the legal entity or of the separate unit and by a seal (if available) or certified by an authorized employee of the Bank shall be provided additionally, provided that the original is available.

6.4. If the separate unit or the legal entity that established the separate unit is related to a politically exposed person (PEP), the documents confirming the origin of funds of a Client, assets and rights to such assets (source of capital) shall be submitted additionally. If the ultimate beneficiary owner (controller) is a politically exposed person, a person, close or associated to a PEP, the documents (information) which confirm the origin of assets, rights to such assets (source of capital) of the individual – ultimate beneficiary owner (controller), shall be provided.

¹ separate unit – a subsidiary, representative office, branch or other separate unit which does not have status of a legal entity and carries out its activities on behalf of the resident entity

7. Persons, who have the right of the first and second signatures, shall personally submit the following:

7.1. Passport of the citizen of Ukraine (or other document which certifies the identity and, according to the law of Ukraine, can be used on the territory of Ukraine for conclusion of legal deals) (copies of relevant pages of the passport shall be certified by signatures of the authorized employee of the Bank and the individual – owner of the document).

7.2. Unique record number in the Unified State Demographic Register (if available).

7.3. Information on the place of residence or stay (for residents) / place of residence or place of temporary stay in Ukraine (for non-residents).

7.4. Document issued by a relevant control authority and certifying the registration of the individual in the State Individual Taxpayers' Register (copy of the document shall be certified by signatures of the authorized employee of the Bank and the individual – owner of the document).

The document shall be submitted by the resident individuals. Individuals, whose passport contains an inscription, made by the relevant control authorities, on the right to carry out any payment with use of series and number of the passport, or whose passport contains data on registration number of taxpayer's identification card, or data on the registration number of taxpayer's identification card, entered by the territorial structural units of the State Migration Service of Ukraine / an inscription on refusal to receive a taxpayer's identification card number on an electronic contactless media, or in whose passport the inscription "refusal" was made, shall not submit this document.

7.5. Copies of documents which confirm the power of persons who have the right of the first and second signatures, certified by signature of the Head of the separate unit and by a seal (if available) (order / power of attorney / protocol, etc.).

The above list of documents is not exhaustive and, if necessary, the Bank shall have the right to request from the Client to provide documents and/or data necessary for fulfillment of identification procedure, according to the requirements of Article 11 of the Law of Ukraine "On Prevention and Combating the Money-Laundering, Terrorism Financing and Weapons of Mass Destruction Proliferation Financing" No. 361-IX dated January 06, 2019, of the Resolution of the Board of the National Bank of Ukraine "On Approval of Regulation on Carrying Out the Financial Monitoring by Banks" No. 65 dated May 19, 2020.

To open an account, a Client must submit to the Bank the documents that must be valid at the time of their submission and their execution must meet the requirements of the law of Ukraine.

The Bank has the right to deny the Client in servicing the account in the cases stipulated by the law of Ukraine and the agreement.