

LIST OF DOCUMENTS FOR OPENING A CURRENT ACCOUNT FOR FORMATION OF THE AUTHORIZED FUND OF A LEGAL ENTITY (EXCEPT FOR BANKS)

1. For opening of a current account for formation of the authorized fund (authorized capital, share or indivisible fund) of a business entity – legal entity (except for banks), the person who is granted the right of the executive signature by the founders (members) shall submit individually the following:

1.1. **Passport of the citizen of Ukraine** (or other document, certifying the identity that according to legislation of Ukraine, can be used on the territory of Ukraine for conclusion of legal deals) (copies of relevant pages of the passport shall be certified by signatures of the authorized employee of the Bank and the individual – owner of the document).

1.2. **Document, issued by the relevant control authority, certifying registration of the individual in the State Individual Taxpayers' Register** (copies of the document shall be certified by signatures of the authorized employee of the Bank and the individual – owner of the document). The document shall be submitted by individuals – residents (for a non-resident – if available). Individuals, whose passport contains an inscription, made by the relevant control authority, on the right to carry out any payment with use of series and number of the passport, or whose passport contains data on registration number of taxpayer's identification card, or data on the registration number of taxpayer's identification card, entered by territorial structural units of the State Migration Service of Ukraine / an inscription on refusal to receive a taxpayer's identification card number on an electronic contactless media, or in whose passport the inscription "refusal" was made, shall not submit this document.

1.3. Individuals – non-residents shall additionally provide a document, containing a confirmation regarding data on the place of residence or a place of temporary residence of the individual in Ukraine.

1.4. **Application for opening of the current account**, signed by the person, authorized by the founders (members) of the newly established legal entity for opening of the account (a template of the document is provided by the Bank).

1.5. **One copy of the original constituent document** or its copy, certified by an employee of the Bank. In case of opening of the account for formation of the authorized capital of a joint-stock company, the agreement / decision on establishment of the joint-stock company or its notarized copy shall be submitted instead of the constituent document.

1.6. If the legal entity is established and/or acts on the basis of **standard articles of association**, approved by the Cabinet of Ministers of Ukraine, a copy of the decision on its establishment, signed by all the founders, notarized or certified by the authorized employee of the Bank, provided that the original is available, shall be submitted instead of the copy of the document, specified in paragraph 1.5. The decision shall contain information on the legal form of the company, its name, registered office, profile and objective, and activities, composition of the founders and members, amount of the authorized capital, amount of shares of any of the members, the procedure for making contributions by them, as well as information on carrying out activities on the basis of standard articles of association.

1.7. **Decision of the founders (members)** on determination of the person, granted the right to open and dispose of the account of the newly established legal entity, what is executed in the form of a notarized Power of Attorney (if at least one of the founders (members) is an individual). Copy of the notarized Power of Attorney can be submitted to the Bank instead of its original.

2. For opening of a current account for formation of the authorized of a business entity, the founder (member) of which is only one person, the founder (member) shall individually or his/her authorized person submit the following:

2.1. Passport of the citizen of Ukraine (or other document, certifying the identity that according to legislation of Ukraine, can be used on the territory of Ukraine for conclusion of legal deals) (copies of relevant pages of the passport shall be certified by signatures of the authorized employee of the Bank and the individual – owner of the document).

2.2. **Document, issued by the relevant control authority, certifying registration of the individual in the State Individual Taxpayers' Register** (copies of the document shall be certified by signatures of the authorized employee of the Bank and the individual – owner of the document). The document shall be submitted by individuals – residents. Individuals, whose passport contains an inscription, made by the relevant control authorities, on the right to carry out any payment with use of series and number of the passport, or whose passport contains data on registration number of taxpayer's identification card, shall not submit this document.

2.3. A notarized **Power of Attorney or copy of the Power of Attorney for the person, entitled to open and dispose of the account**. If the account is opened personally by the founder (member), this document shall not be required.



2.4. **Application on opening of a current account.** Information on the fact that the account is opened for formation of the authorized fund (authorized capital) of the business entity, the founder (member) of which is only one person, shall be indicated in line “Additional information” of the application for opening of the current account.

2.5. **One counterparty of the original of the constituent document** or its notarized copy. In case of opening of the account for establishment (authorized capital) of a joint-stock company, the decision on establishment of the joint-stock company or its notarized copy shall be submitted instead of the constituent document.

3. **Questionnaire** (a template of the document is provided by the Bank).

4. **A scheme of the ownership structure** that illustrates the structure and allows finding out all existing beneficiary owners. Documents, allowing to find out “real” owners (trust declarations, declarations of trust, Powers of Attorney, affidavit statements etc.), if they are available.

5. If the Client is **related to a politically exposed person (PEP)**, documents, which confirm the origin of funds of the client, assets and rights to such assets (source of capital) shall be submitted additionally. If the ultimate beneficiary owner (controller) of the Client is a politically exposed person, a person, close or associated with a PEP, documents (information), which confirm origin of assets, rights to such assets (source of capital) **of the individual – ultimate beneficiary owner (controller)** shall be submitted.

The above list of documents is not exhaustive and, if necessary, the Bank shall have the right to request the Client to provide documents and/or data, necessary for carrying out identification procedure, according to the requirements of Article 64 of Law of Ukraine “On Banks and Banking Activity”, of Article 9 of Law of Ukraine “On Prevention and Fight Against Money-Laundering, Terrorism Financing and Weapons of Mass Destruction Proliferation Financing”, of Section V of Resolution No.417 of the Board of the National Bank of Ukraine “On Approval of Regulation on Carrying Out by Banks Financial Monitoring” dd. 26.06.2015.

Funds of the founder (members) shall be credited on a temporary account for formations of the authorized, share or indivisible fund of the newly established legal entity before its State registration as a legal entity.

The account shall start functioning as a current one upon receipt by the Bank of documents, required for opening of a current account, and a response message or a counterfoil of the message about registration of the account by the control authority at the place of the registered office of the business entity – legal entity. In case of refusal of the State registration of the business entity – legal entity, funds shall be returned to the founders (members), and the account shall be closed on the basis of a written application, indicating the grounds for closing the account, signed by the person, entitled by the founders (members) of the newly established legal entity to close the account. If the founder (member) of the business entity is only one person, the written application, indicating the grounds for closing of the account, shall be signed by this person or the person, authorized by him or her.