

LIST OF DOCUMENTS FOR OPENING A CURRENT ACCOUNT FOR A SEPARATE UNIT¹ OF A LEGAL ENTITY

1. **Application for opening of a current account**, signed by the head of a separate unit or other authorized person (the template of the document is provided by the Bank).
2. **Request** of a legal entity or relevant privatization authority (regarding structure of units, separated in the process of privatization) to the Bank on opening of the account, indicating the number of the current account of the legal entity and the name of the bank, in which it is open, as well as information, whether the separate unit is a payer of the unified social tax. In case of simultaneous opening of current accounts in the Bank through several separate units of on legal entity, one request of the legal entity with the above information and the list of separate units, through which accounts will be opened, and copies of this request in the number necessary for creation of files for legal arrangement of accounts for each separate unit, shall be submitted.
3. **Questionnaire** (the template of the document is provided by the Bank).
4. **A scheme of the ownership structure** that illustrates the structure and allows finding out all existing beneficiary owners. Documents, allowing to find out "real" owners (trust declarations, declarations of trust, Powers of Attorney, affidavit statements etc.), if they are available.
5. **Card with signatures samples**, notarized or certified by a signature of the head of the legal entity, where separate unit is. The card shall also include signatures samples of the authorized persons of the unit who according to the laws of Ukraine and the relevant documents of the legal entity are granted the right to dispose the account and sign settlement documents, and seal sample (if available).
6. **Constituent and registration documents of the separate units of the legal entity:**
 - 6.1. Copy of the duly executed **regulation on the separate unit**, notarized or certified by the legal entity, which established the separate unit, or by the authorized employee of the Bank, if the original is available.
 - 6.2. **If the separate unit is a unit of a financial institution**, a copy of the certificate of registration of the financial institution, issued by the State Commission for Regulation of Financial Services Markets of Ukraine, certified by signature of the head of the legal entity and a seal of the legal entity or the separate unit (if available), or by the authorized employee of the Bank shall be submitted additionally, provided that the original is available.
 - 6.3. **If the legal entity, which established the separate unit, is a payer of VAT**, a copy of the Extract from the Registry of Value Added Tax Payers, certified by signature of the head of the legal entity or of the separate unit and by a seal (if available) or certified by an authorized employee of the Bank shall be provided additionally, provided that the original is available.
 - 6.4. **If the separate unit or the legal entity, which established the separate unit, is a person, related to a politically exposed person (PEP)**, documents, which confirm origin of funds of the client, assets and rights to such assets (source of capital) shall be submitted additionally. If the ultimate beneficiary owner (controller) is a politically exposed person, a person, close or associated to a PEP, documents (information), which confirm origin of assets, rights to such assets (source of capital) of the **individual – ultimate beneficiary owner (controller)** shall be provided.
7. **Persons who have the right of the first and second signatures, shall submit individually the following:**
 - 7.1. Passport of the citizen of Ukraine (or other document, certifying the identity and according to the laws of Ukraine, can be used on the territory of Ukraine for conclusion of legal deals) (copies of relevant pages of the passport shall be certified by signatures of the authorized employee of the Bank and the individual – owner of the document).
 - 7.2. Document, issued by a relevant control authority, certifying registration of the individual in the State Individual Taxpayers' Register (copies of the document shall be certified by signatures of the authorized employee of the Bank and the individual – owner of the document). The document shall be submitted by individuals – residents. Individuals, whose passport contains an inscription, made by the relevant control authorities, on the right to carry out any payment with use of series and number of the passport, or whose passport contains data on registration number of taxpayer's identification card, or data on the registration number of taxpayer's identification card, entered by territorial structural units of the State Migration Service of Ukraine / an inscription on refusal to receive a taxpayer's identification card

¹ separate unit - subsidiary, representative office, branch or other separate unit which has no status of a legal entity and carries out its activities on behalf of the legal entity - resident

number on an electronic contactless media, or in whose passport the inscription “refusal” was made, shall not submit this document.

7.3. Copies of documents, confirming powers of persons who have the right of the first and second signatures, certified by signature of the head of the separate unit and by a seal (if available) (order / power of attorney / protocol, etc.). Individuals – non-residents shall additionally provide a document, containing a confirmation regarding data on the place of residence or a place of temporary residence of the individual in Ukraine.

The above list of documents is not exhaustive and, if necessary, the Bank shall have the right to request the Client to provide documents and/or data, necessary for fulfillment of identification procedure, according to the requirements of Article 64 of Law of Ukraine “On Banks and Banking Activity”, of Article 9 of Law of Ukraine “On Prevention and Fight Against Money-Laundering, Terrorism Financing and Weapons of Mass Destruction Proliferation Financing”, of Section V of Resolution No.417 of the Board of the National Bank of Ukraine “On Approval of Regulation on Carrying Out by Banks Financial Monitoring” dd. 26.06.2015.